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Privacy Statement and Consent for USE of Tax Return Information
Required by Federal law.

Unless authorized by you, I cannot use your tax return information for financial planning or any purpose other than the preparation and filing of your tax return.

You are not required to consent if you are solely engaging this firm for tax return prep. This consent only remains valid while you continue to engage us as your advisor.

Consent

You authorize Lewis Rubinstein CPA (“Preparer”) to use all your Tax Return Information, which includes but may not be limited to, all communications with Preparer and any information Preparer derives or generates from Tax Return Information in connection with your Tax Return(s) and Tax Return Information for the purpose of providing you with information pertaining to various financial services and products, which may include, but are not limited to, financial planning, Investment advice and Investment products (e.g., stocks, bonds, mutual funds, insurance and annuities).

I understand that Lewis Rubinstein CPA is not a registered broker-dealer or a registered investment adviser. Lewis Rubinstein CPA is affiliated with Avantax Investment Servicessm, a registered broker-dealer, which is headquartered at 6333 N. State Highway 161, Fourth Floor, Irving, Texas 75038 as an independent contractor.

I understand that as preparer Lewis Rubinstein CPA may use all of my Tax Return Information in connection with his affiliation with Avantax Investment Servicessm and Avantax affiliates for the purpose of offering financial products and services.

Avantax does not offer, provide or supervise tax advice or tax preparation services, and any services provided by Avantax will be pursuant to a written agreement directly with a relevant Avantax entity.

I acknowledge that if I make an Investment through Avantax that Preparer will receive a part of any management fees, commissions, or other fees paid on Investments I make. I understand that I am under no obligation whatsoever to follow any recommendations made or to purchase any other products or services offered by or through Preparer.

I understand that my Tax Return Information may not be disclosed or used by Preparer for any purpose other than as permitted by this consent.

Unless otherwise requested the duration of this consent is three years from the date of signature.

Consent for Disclosure of Tax Return Information

Required by Federal law.

Unless authorized, by law this firm cannot disclose your tax return information to third parties (like Mutual funds, Insurance companies, Avantax our Broker-Dealer) for any purposes other than the preparation and filing of your tax return without your consent. If you consent to the disclosure of your tax return information, Federal law may not protect your tax return information from further use or distribution.

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Consent

As mentioned above,

You authorize Lewis Rubinstein CPA (“Preparer”) to use all your Tax Return Information, which includes but may not be limited to, all communications with Preparer and any information Preparer derives or generates from Tax Return Information in connection with your Tax Return(s) and Tax Return Information for the purpose of providing you with information pertaining to various financial services and products, which may include, but are not limited to, financial planning, Investment advice and Investment products (e.g., stocks, bonds, mutual funds, insurance and annuities).

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I understand that my Tax Return Information may not be disclosed or used by Preparer for any purpose other than as permitted by this consent.

Purposes

1. This consent allows Preparer to disclose my Tax Return Information to Avantax for the purpose of providing me with information pertaining to various financial services and products, which may include, but are not limited to, financial planning, investment advice and investment products (e.g., stocks, bonds, mutual funds, insurance and annuities). Without limiting the foregoing, this consent allows Preparer to download my Tax Return Information into financial planning or other software or systems owned or operated by Preparer or Avantax for the purpose of assessing my financial situation or providing me with potential investment recommendations, whether or not I open an account or purchase any products or services through Avantax, and whether or not such recommendations are actually made.

2. Avantax may capture, retain, and review my electronic communications with Preparer or other people affiliated with Lewis Rubinstein. These communications may include email and attachments which contain Tax Return Information. Avantax will protect the confidential nature of this information by disclosing it only in response to the lawful requests of United States federal or state agencies, or otherwise as required by law.

3. I understand that Avantax and its authorized third party vendors will retain and store my Tax Return Information in hard copy and/or electronically as required by applicable regulations, or longer as determined in the sole discretion of Avantax.

Unless otherwise specified below, the duration of this consent is three years from the date you sign the tax return eFile signature release form 8879.

You may request a more limited duration.

If you believe your tax return information has been disclosed or used improperly in a manner unauthorized by law or without your permission, you may contact the Treasury Inspector General for Tax Administration (TIGTA) by telephone at 1-800-366-4484, or by email at complaints@tigta.treas.gov.